



Optimizing Proof of Aliveness in Cyber-Physical Systems

Zheng Yang¹, **Chenglu Jin**², Xuelian Cao¹, Marten van Dijk², Jianying Zhou³

¹ Southwest University,

² CWI Amsterdam,

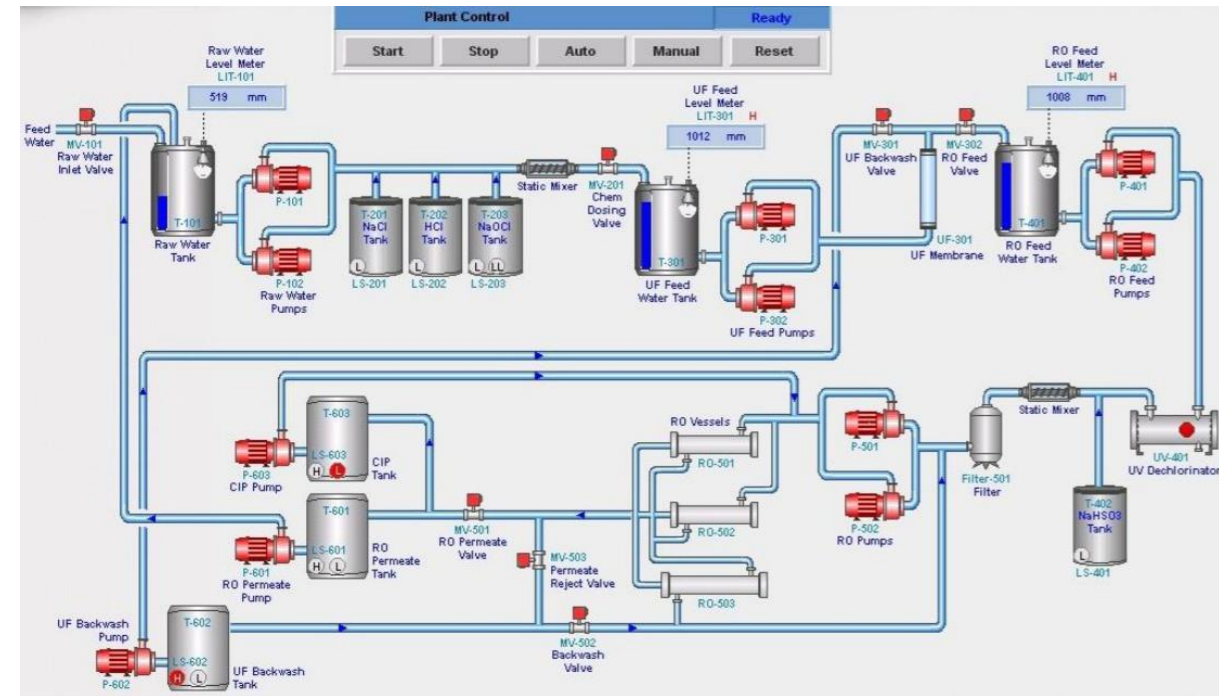
³ Singapore University of Technology and Design

*Yang and Jin share the first authorship.

Published at IEEE Transactions on Dependable and Secure Computing 2024

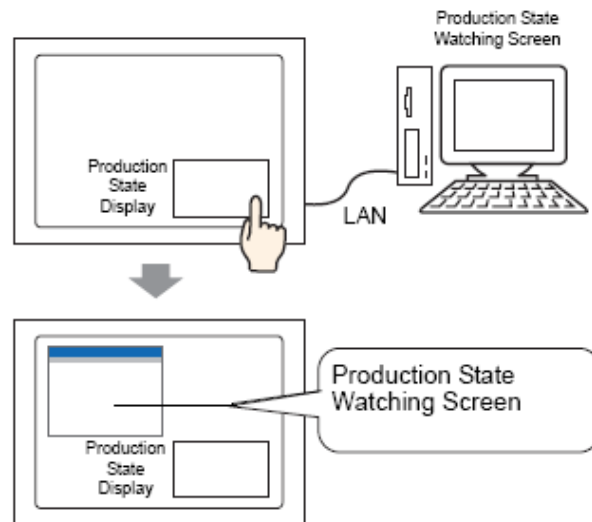
Aliveness of devices in cyber-physical systems

- Aliveness \approx **Continue** functioning as designed
- Importance of Aliveness:
 - Work collaboratively
 - Critical components
 - Blackout
 - Safety critical components
 - Triton targeting safety instrumented systems (SIS)



Check the aliveness

- Track the running status of the devices
- Immediately raise alarm, and fix it



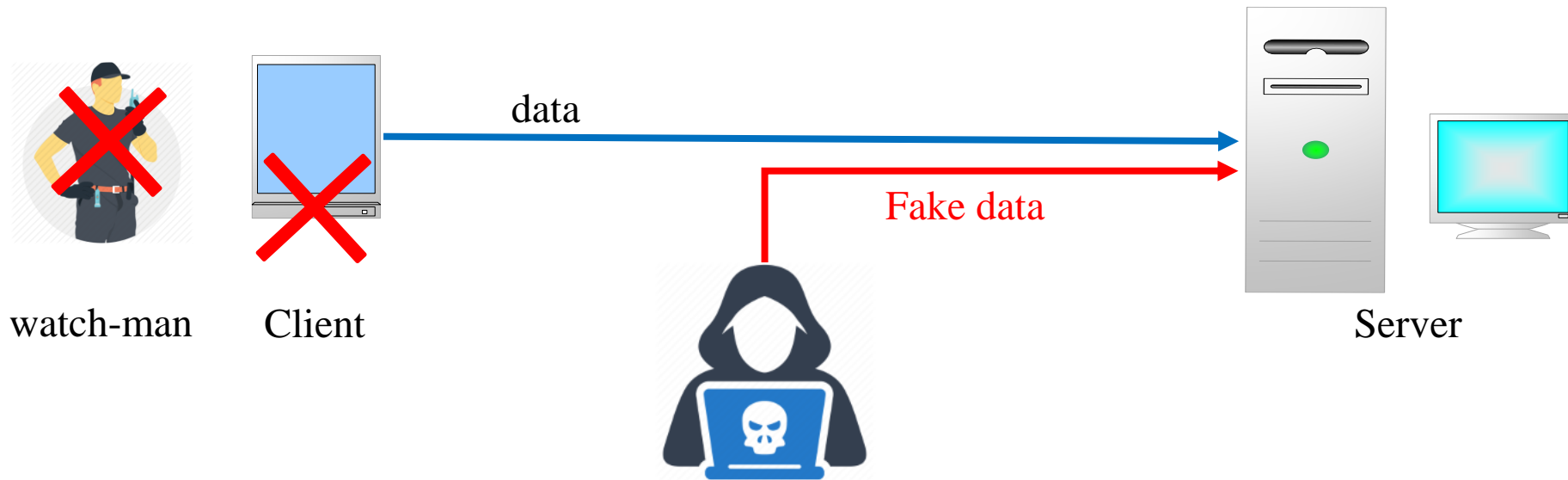
On-site check



Remote Monitor

Challenge in checking the aliveness remotely

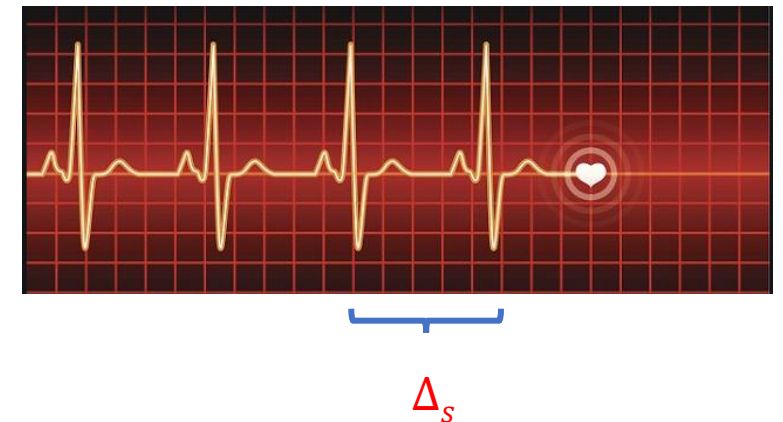
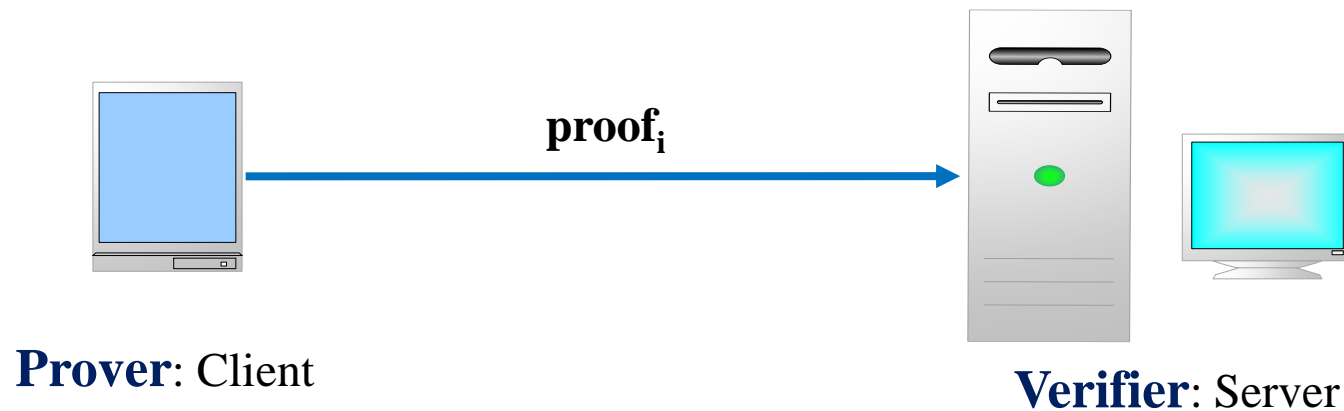
- **Inject fake data** against automatic check



- Hard to identify the death promptly

This work: Proof of Aliveness

- Cryptographic notion - PoA
 - **Two-party protocol:** prover (client), verifier (server)
 - **Heartbeat pattern:** the prover periodically sends proofs to a verifier with a fixed time interval Δ_s , e.g., every $\Delta_s=30$ seconds
 - **Dead** if no valid proof within *aliveness tolerance time* T_{att} , e.g., $T_{att}=3$ minutes



Security model for PoA

- **Adversary model: network attacker**
 - Eavesdropping, injecting, and replay attack are allowed
 - Server can be compromised



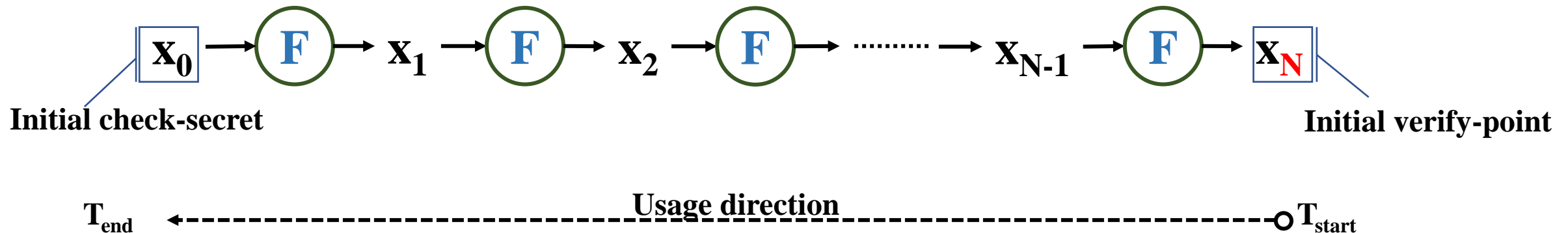
- **Security goal:** no adversary can **forge** a valid aliveness proof (especially when the prover is **dead**)

How to realize PoA

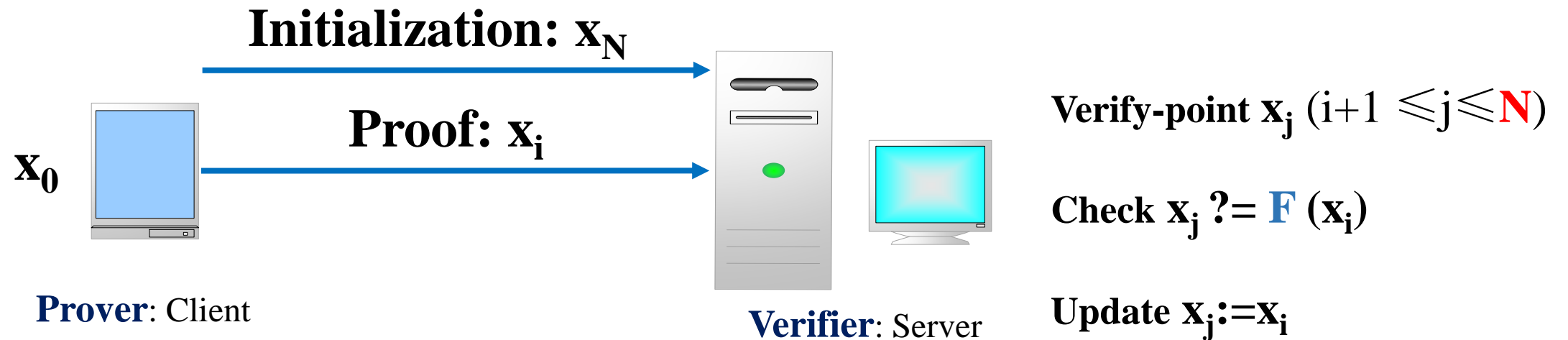
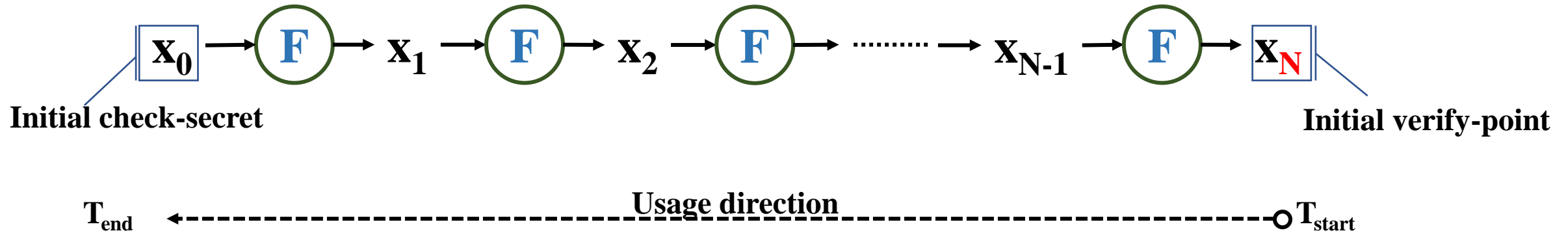
- Digital signature
 - Inefficient for resource-constrained devices
- Message authentication code
 - Subject to Server compromise attack
- **Time-based one-time password**
 - Lightweight, rely on hash or one-way function (OWF)
 - Server compromise resilience, e.g., T/Key [DMB17]
 - Passwords=Proofs sent in a constant pace, every Δ_s seconds

Single-chain PoA Π_{OWF} from [Lam81]

- One-way function $\mathbf{F}: \{0,1\}^m \rightarrow \{0,1\}^m$
 - Easy to compute \mathbf{F} , but very hard to compute \mathbf{F}^{-1}
 - One-way function chain: $\mathbf{X}_i = \mathbf{F}^i(\mathbf{X}_0)$, where \mathbf{X}_0 is random



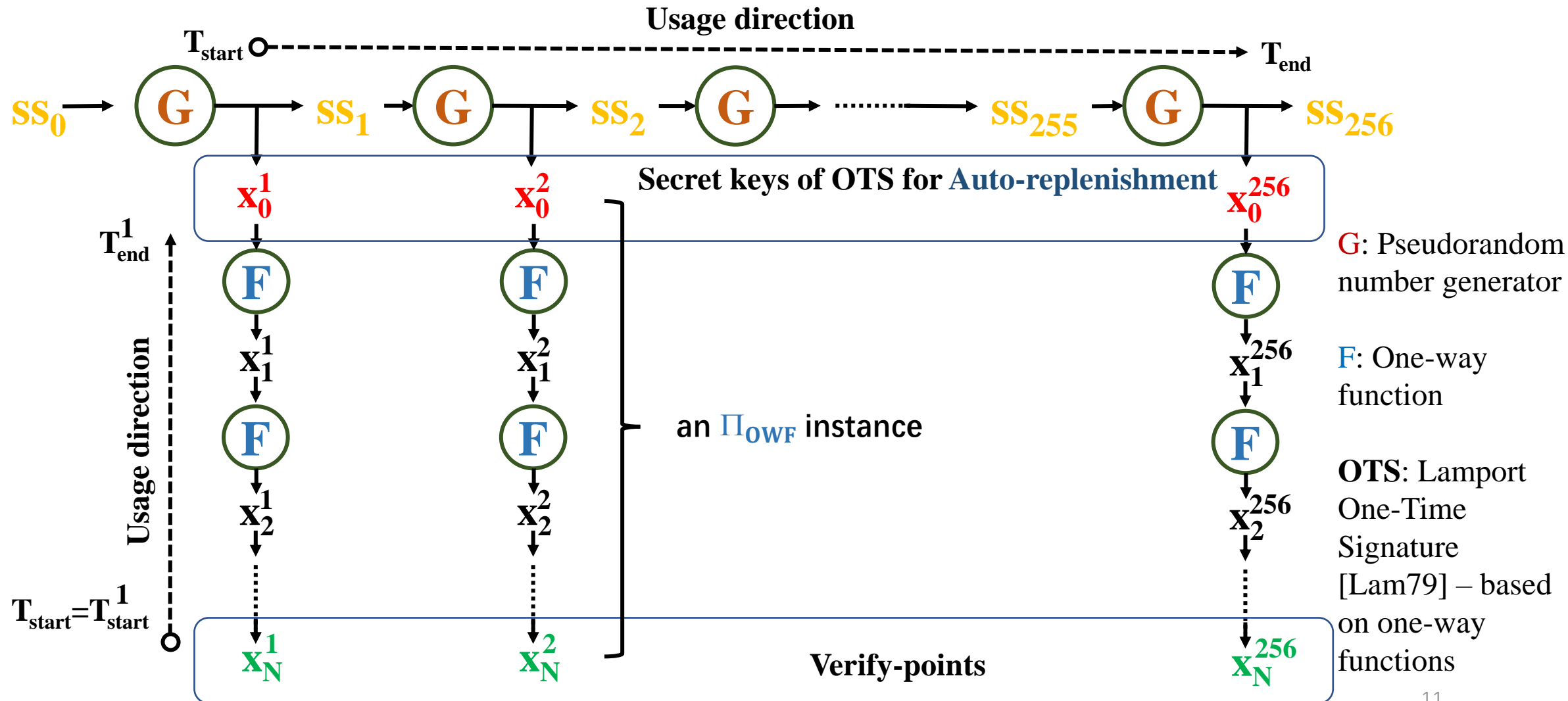
Single-chain PoA Π_{OWF} from [Lam81]



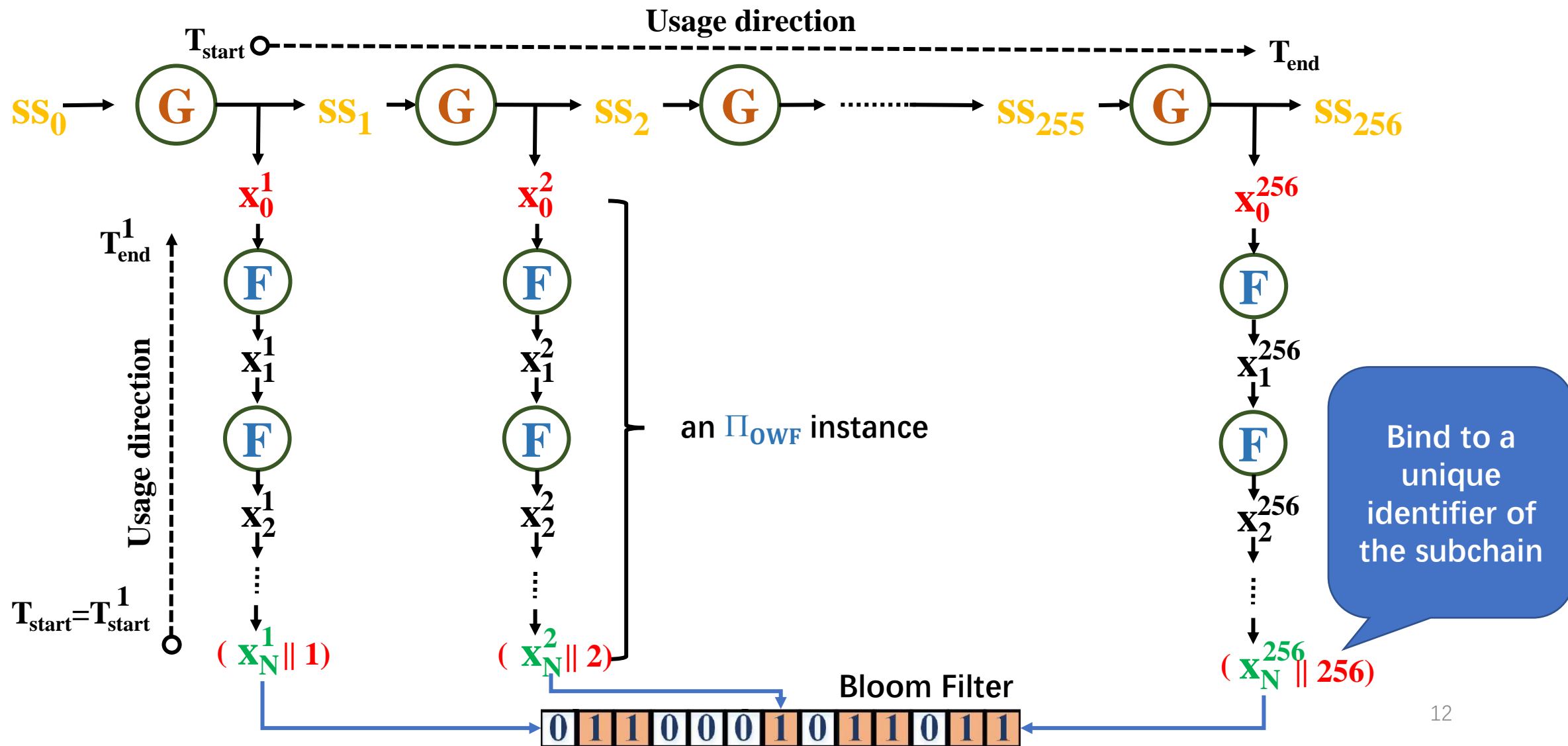
Π_{OWF} : limitation

- Finite number of proofs
 - Does not match with the super long life time of CPS devices
- The total number of proofs $N=1$ million \rightarrow 1 years with $\Delta_s=30$ seconds intervals
- We need to auto-replenish the proofs by the protocol itself
 - Assuming no long-term/master keys

Multiple-chain PoA $\Pi_{\text{OWF}}^{\text{PRG}}$

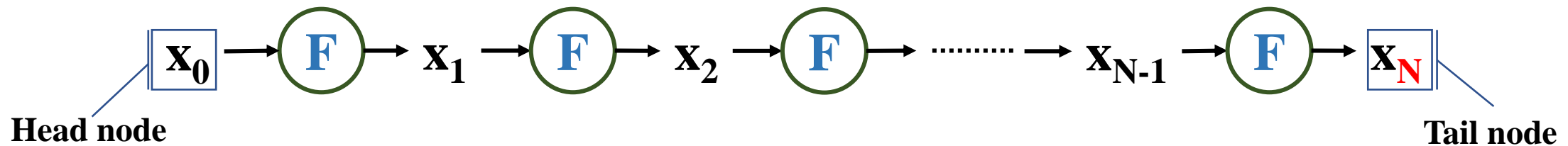


PoA $\Pi_{\text{OWF}}^{\text{PRG}}$ - BF



Commitment-Based Replenishment

- OTS is secure forever, but can we use something weaker and more efficient?
 - Yes. Hash-based commitment scheme, only secure before the commitment is open.
- 1. When sending \mathbf{X}_1 , the prover also sends $\mathbf{H}(\mathbf{X}_0, \mathbf{New_Instance})$ to the verifier. \mathbf{H} is a collision resistant hash function.
- 2. In the end of the life time of the chain, the prover sends \mathbf{X}_0 and $\mathbf{New_Instance}$ to the verifier
- 3. The verifier verifies \mathbf{X}_0 with the known info and then verifies New Instance with $\mathbf{H}(\mathbf{X}_0, \mathbf{New_Instance})$ received previously.
- This replenishment also works on multi-chain structures



Optimal Caching Strategy

- Consider a memory sufficient device (more discussion on memory insufficient devices in the paper)
- A memory efficient implementation that minimizes the proof generation time: one F call per proof generation
- Break an N-node chain into \sqrt{N} segments of \sqrt{N} nodes.
- Memory requirement: $2\sqrt{N}$ nodes: \sqrt{N} checkpoints and \sqrt{N} cached nodes
- When the i-th segment is being used in the reverse order, the (i-1)-th segment is being computed in the forward order from its checkpoint and overwrite the proof just used.

Caching Example

Suppose $N = 100$. Then we need $2\sqrt{N} = 20$ node storage.

Checkpoints

X_0	X_{10}	X_{20}	X_{30}	X_{40}	X_{50}	X_{60}	X_{70}	X_{80}	X_{90}
-------	----------	----------	----------	----------	----------	----------	----------	----------	----------

One Segment

X_{90}	X_{91}	X_{92}	X_{93}	X_{94}	X_{95}	X_{96}	X_{97}	X_{98}	X_{99}
X_{90}	X_{91}	X_{92}	X_{93}	X_{94}	X_{95}	X_{96}	X_{97}	X_{98}	X_{80}
X_{90}	X_{91}	X_{92}	X_{93}	X_{94}	X_{95}	X_{96}	X_{97}	X_{81}	X_{80}
X_{90}	X_{91}	X_{92}	X_{93}	X_{94}	X_{95}	X_{96}	X_{82}	X_{81}	X_{80}
X_{90}	X_{91}	X_{92}	X_{93}	X_{94}	X_{95}	X_{83}	X_{82}	X_{81}	X_{80}

Performance evaluation

- Client – Raspberry Pi 3, server – laptop , $N=2^{22}$ (4 million)
- Random oracle (RO), Hash – SHA256, PRG – AES-CTR
- Standard model (STD), OWF – Subset-sum, PRG – [YLW13]

Protocol	Setup	Proof Generation average/worst	Verification	Replenishment
$\Pi_{\text{OWF}}^{\text{STD}}$	185.33 s	44.19 μs / 44.19 μs	4.12 μs	N/A
$\Pi_{\text{OWF}}^{\text{RO}} - \text{C}$	15.69 s	3.74 μs / 3.74 μs	0.47 μs	11.22 μs
$\Pi_{\text{OWF}}^{\text{PRG}}\text{-BF RO}$	17.11 s	5.50 μs / 18.00 μs	0.47 μs	2.65 ms
$\Pi_{\text{OWF}}^{\text{PRG}}\text{-BF STD}$	192.48 s	45.5 μs / 10.46 ms	4.12 μs	5.28 s

Best on a
memory
sufficient
prover

Summary

- Cryptographic notion of Proof of Alievness
 - Security model (not detailed in the talk)
 - New security bounds in the standard model (not discussed in the talk)
- Optimized PoA constructions and implementations
 - Reduce the overall chain size: auto-replenishment
 - Minimize the proof generation time: optimal caching strategy
 - Reduce the server storage: Bloom filter
 - Reduce the replenishment time: commitment scheme
- Performance evaluation on Raspberry Pi.